



CPA/2811

PTO/SB/29 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

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CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.

(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable:

 DUPLICATE

|   |   |                  |
|---|---|------------------|
| Address to:   | Attorney Docket No.<br>of Prior Application | 0756-2062        |
| Commissioner for Patents<br>Box CPA<br>Washington, DC 20231 | First Named Inventor                        | Shunpei YAMAZAKI |
|   | Examiner Name                               | Steven Loke      |
|   | Group Art Unit                              | 2811             |
|   | Express Mail Label No.                      |                  |

This is a request for a  continuation or  divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number 09/433,705, filed on November 4, 1999, entitled SEMICONDUCTOR DEVICE HAVING LDD REGIONS.

NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provided credit card information and authorization on PTO-2038.

- Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 CFR 1.116 in the prior nonprovisional application.
- A preliminary amendment is enclosed.
- This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
  - DELETE** the following inventor(s) named in the prior nonprovisional application:

01/09/2003 CCHAU1 00000022 09433705

- The inventor(s) to be deleted are set forth on a separate sheet attached hereto. 01 FC:1006 750.00 0P  
02 FC:1202 378.00 0P  
03 FC:1201 252.00 0P
- A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
- Information Disclosure Statement (IDS) is enclosed:
  - PTO-1449
  - Copies of IDS Citations

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box CPA, Washington, DC 20231.  
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| CLAIMS | (1) FOR   | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE                      | (5) CALCULATIONS |
|--------|---|------------------|------------------|-------------------------------|------------------|
|        | TOTAL CLAIMS<br>(37 CFR 1.16(c) or (j))   | 41 -20* =        | 21               | x \$ 18 =                     | \$ 378.00        |
|        | INDEPENDENT CLAIMS<br>(37 CFR 1.16(b) or (l))   | 6 -3** =         | 3                | x \$ 84 =                     | \$ 252.00        |
|        | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))  |                  |                  | + \$ _____ =                  |                  |
|        |   |                  |                  | BASIC FEE<br>(37 CFR 1.16)    | \$ 750.00        |
|        |   |                  |                  | Total of above Calculations = | \$1,380.00       |
|        | Reduction by 50% for filing by small entity (Note 37 CFR 1.27).   |                  |                  |                               |                  |
|        | <small>* Reissue claims in excess of 20 and over original patent.<br/>** Reissue independent claims over original patent.</small>   |                  |                  |                               |                  |
|        | TOTAL = \$1,380.00  |                  |                  |                               |                  |
| 6.     | <input type="checkbox"/> Small entity status: Applicant claims small entity status. See 37 CFR 1.27.  |                  |                  |                               |                  |
| 7.     | The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50-2280:   |                  |                  |                               |                  |
| a.     | <input checked="" type="checkbox"/> Fees required under 37 CFR 1.16.  |                  |                  |                               |                  |
| b.     | <input checked="" type="checkbox"/> Fees required under 37 CFR 1.17.  |                  |                  |                               |                  |
| c.     | <input checked="" type="checkbox"/> Fees required under 37 CFR 1.18.  |                  |                  |                               |                  |
| 8.     | <input checked="" type="checkbox"/> A check in the amount of \$1,380.00 is enclosed.  |                  |                  |                               |                  |
| 9.     | <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.   |                  |                  |                               |                  |
| 10.    | <input type="checkbox"/> Applicant requests suspension of action under 37 CFR 1.103(b) for a period of _____ months (not to exceed 3 months) and the fee under 37 CFR 1.17(l) is enclosed.                    |                  |                  |                               |                  |
| 11.    | <input type="checkbox"/> New Attorney Docket Number, if desired<br><i>[Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.]</i> |                  |                  |                               |                  |
| 12. a. | <input type="checkbox"/> Receipt For Facsimile Transmitted CPA (PTO/SB/29A)   |                  |                  |                               |                  |
| 12. b. | <input checked="" type="checkbox"/> Return Receipt Postcard (Should be specifically itemized. See MPEP 503)   |                  |                  |                               |                  |
| 13.    | <input type="checkbox"/> Other: _____   |                  |                  |                               |                  |

**NOTE:** The prior application's correspondence address will carry over to this CPA  
UNLESS a new correspondence address is provided below.

| 14. NEW CORRESPONDENCE ADDRESS                             |   |           |                |   |                |
|--|---|-----------|----------------|---|----------------|
| <input type="checkbox"/> Customer Number of Bar Code Label |   | 31780     |                | <input type="checkbox"/> New correspondence address below<br><i>(insert Customer No. or Attach bar code label here)</i> |                |
| Name   | Robinson Intellectual Property Law Office, P.C. |           |                |   |                |
| Address  | PMB 955, 21010 Southbank Street                 |           |                |   |                |
| City   | Potomac Falls                                   | State     | VA             | Zip Code  | 20165          |
| Country  | United States                                   | Telephone | (703) 790-9110 | Fax   | (703) 883-0370 |

| 15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED |   |
|---|---|
| Name (Print/Type)                                       | Eric J. Robinson  |
| Signature   |  |
| Registration No. (Attorney/Agent)                       | 38,285  |
| Date  | January 8, 2003   |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of ) Art Unit: 2811  
Shunpei YAMAZAKI ) Examiner: S. Loke  
Serial No. 09/433,705 )  
Filed: November 4, 1999 )  
For: SEMICONDUCTOR DEVICE )  
HAVING LDD REGIONS )  
(As Amended) )

25/D  
FJONES  
1-28-03

PRELIMINARY AMENDMENT

Honorable Commissioner of Patents  
Washington, D.C. 20231

Sir:

Before examination and calculation of the fees of the attached Continued  
Prosecution Application, please amend the above-identified application as follows:

IN THE CLAIMS:

Please cancel claims 18-45 in their entirety without prejudice or disclaimer.

Please amend claim 13 as follows:

*Amended*

13. (Amended) A semiconductor device having an active matrix display device, said display device comprising:  
at least one first thin film transistor formed over a substrate;  
a pixel electrode electrically connected to said first thin film transistor;  
a driver circuit including at least one second thin film transistor formed over said substrate for driving said at least one first thin film transistor, said first thin film transistor comprising:  
a semiconductor island on an insulating surface over the substrate;  
source and drain regions formed in the semiconductor island;